

# Traffic Diversion Audit

BROOME COUNTY GOVERNMENT  
DEPARTMENT OF AUDIT AND CONTROL



# State of New York County of Broome Government Offices

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Department of Audit & Control

Meaghan E. Klenovic, Comptroller · Daniel J. Reynolds, Chairman of the Legislature

March 28, 2024

District Attorney F. Paul Battisti:

The Department of Audit and Control has completed an audit of the District Attorney's Traffic Diversion Program. Periodic audit of this account is required under Local Law No.3 of 2022.

Our primary objective was to ensure compliance with Local Law No. 3 of 2022. We reviewed transactions recorded in the Traffic Diversion account for the period of January 1, 2023 - December 31, 2023.

We conducted this examination in accordance with generally accepted government auditing standards (GAGAS). Those standards require that we plan and perform the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based on our audit objective.

We believe that the evidence obtained provides a reasonable basis for our findings and conclusions based on our audit objective.

We thank the District Attorney's Office for their cooperation and assistance throughout the audit process.

Sincerely,

Meaghan E. Klenovic  
Comptroller

cc: Jason T. Garnar, County Executive  
Daniel J. Reynolds, Chairman of the Legislature  
Aaron M. Martin, Clerk of the Legislature  
Members of the Legislature

**Background:**

In 2016, the Broome County District Attorney launched a Traffic Diversion program. The program offers drivers who receive tickets for minor traffic violations the opportunity to avoid points and penalties on their license. Participants must apply to the program, meet eligibility requirements, pay the required fee, and complete a traffic safety course.

Our objective was to conduct an audit in accordance with Local Law No. 3 of 2022 and to ensure that Traffic Diversion funds were accounted for and used properly.

The period of our audit was calendar year 2023. We reviewed both legislation and the Broome County Charter regarding the Traffic Diversion Program. We tested a sample of participants and verified that their offense was eligible, that the payment was correct and complete, and that proof of a Certificate of Completion was submitted. For these participants, we tied their payment to Broome County’s financial system. Additionally, we also reviewed all program expenditures and written policies and procedures.

Revenues from the Traffic Diversion Program were \$1,341,005 for 2023, of which quarterly, 50% was reimbursed to local municipalities, based on the tickets that were issued in their jurisdiction.

The following table presents restricted fund balances for the Traffic Diversion Account at year end. Restricted fund balances are amounts constrained to a specific purpose, in this case reserves established within the General Fund restricted to pay for additional road safety programs.

<b>YEAR END</b>	<b>AMOUNT</b>
2019	\$ 488,230
2020	\$ 488,230
2021	\$ 1,079,393
2022	\$ 1,454,607
2023	\$ 1,722,326

**-NO FINDINGS -**