

STATEMENT OF FINANCIAL DISCLOSURE FOR THE COUNTY OF BROOME

PLEASE RETURN TO THE CLERK OF THE COUNTY LEGISLATURE BY **APRIL 15, 2024** (For Calendar Year Ending December 31, 2023 – to be filed in 2024)

1. Name and Address

Last Name, First Name, Middle Initial

Residence Address Telephone Number

Department/Agency/Board & Title

Department/Agency/Board Address Telephone Number

2. Spouse and Children

List: Name of your spouse (if married); and the names and age of any dependent children.

Spouse

Child/Age

Child/Age

Child/Age

Child/Age

PLEASE COMPLETE ALL SECTIONS AND MARK N/A WHERE APPROPRIATE

Note: For questions 3 to 6, do not report exact dollar amounts, instead report categories of amounts, using the following:

- Category A: under \$5,000 Category D: \$50,001 to \$100,000
- Category B: \$5,001 to \$20,000 Category E: Over \$100,000
- Category C: \$20,001 to \$50,000 N/A: Not Applicable

3. FINANCIAL INTERESTS

a. Business Positions

List any office, trustee, directorship, partnership, or other position in any business, association, propriety, or not-for-profit organization held by you, your spouse, and/or dependent children, if any. Indicate whether these businesses are involved with the COUNTY OF BROOME in any manner.

Name of Family Member	Position	Organization	County Department or Agency and Nature of Involvement

b. Outside Employment

List any outside occupation, employment, trade, business, or profession providing more than \$1,000/year for you, your spouse and dependent children, if any. Indicate whether such activities are regulated by any State or local agency.

Note: If you, your spouse and/or dependent children are not employees of Broome County, you need not list the "Category of Amount" with regard to outside employment.

Name of Family Member	Position	Name, Address & Description of Organization	State/Local Agency	Category of Amount

c. Future Employment

Describe any contract, promise or other agreement between you and anyone else with respect to your employment after leaving your County office or position.

d. Past Employment

Identify the source and nature of any income in excess of \$1,000 per current year from any prior employer including

- deferred income;
- income from prior contributions to: a) a pension or retirement fund, or b) profit sharing plan;
- severance pay; or
- payments under a buy-out agreement.

Note: If you, your spouse/or dependent children are not employees of Broome County, you need not list the "Category of Amount" with regard to outside employment.

Name and Address of Income Source	Description of Income (i.e., pension, deferred, etc.)	Category of Amount

e. Investments

List all investments by you, your spouse, and/or dependent children in excess of \$5,000, including:

- Any business, corporation or partnership (also list if your investment is greater than 5% of the value, even if not over \$5,000);
- Other assets, including stocks, bonds, loans, pledged collateral, and other investments for you, your spouse, and/or dependent children.

Note: Exclude all mutual funds and any interest in publicly traded companies (unless you own more than 5% of the publicly traded company/ies).

Name of Family Member	Name and Address of Investment	Description of Investment	Category of Amount

f. Real Estate

List the location of all real estate within Broome County or within five (5) miles thereof, in which you, your spouse, and/or dependent children have an interest for investment purposes, regardless of value.

Note: Do not include personal residence and vacation homes.

Name of Family Member	Name and Address of Real Estate	Description of Investment	Category of Amount

g. Other Income

Identify the source and nature of any other income in excess of \$1,000 per year from any source not described above, including teaching income, lecture fees, consultant fees, contractual income, or other income of any nature, for you, your spouse and/or dependent children.

Note: Exclude income from a trust or estate created by a relative for your benefit, or that of a spouse/dependent child.

Note: If you, your spouse and/or dependent children are not employees of Broome County, you need not list the "Category of Amount" with regard to outside employment.

Name of Family Member	Name and Address of Income Source	Nature of Income	Category of Amount

4. GIFTS AND HONORARIUMS

List the source of all gifts totaling in excess of \$250.00 from any one donor received during the last year by you, your spouse or dependent children.

Note: Do not include gifts from a relative. (The term "gifts" includes gifts of cash, property, personal items, travel, entertainment, payments to third parties on your behalf, forgiveness of debt, honorariums, and other payments that are not reportable as income).

Name of Family Member	Name and Address of Donor	Category of Amount

5. THIRD-PARTY REIMBURSEMENTS

Identify and describe the source of any third-party reimbursement for travel-related expenditures in excess of \$250.00 for any matter that relates to your official duties for Broome County. (The term "reimbursement" includes any travel-related expenses provided by anyone, other than the County of Broome, for speaking engagements, conferences, or fact-finding events that relate to your official Broome County duties).

Source	Description	Category of Amount

6. DEBTS

List debts of you, your spouse, and/or dependent children in excess of \$5,000 as of the date of filing of this statement, other than debts owed to a relative. Include the name of the creditor and identify any collateral pledged to secure payment of any such liability. If any such reportable liability has been guaranteed by any third person, list the liability and the guarantor.

DO NOT LIST:

- Liabilities incurred by, or guarantees made by you, your spouse, any proprietorship, partnership or corporation in which you/your spouse have an interest, if incurred or made in the ordinary course of the trade, business or professional practice of you or your spouse.
- Any obligation to pay maintenance in connection with a matrimonial action, alimony, or child support.
- Revolving charge account information (credit cards).
- Any loan to finance educational costs.
- Any loan to purchase your personal residence or your vacation home.
- Any loan for home improvements in a primary or vacation residence.
- Any loan for the purchase of a personally owned motor vehicle.
- Any loan for household furniture and/or appliances.

Name of Creditor or Guarantor	Type of Liability and Collateral, if any	Category of Amount

7. INTERESTS IN CONTRACTS

Describe any interest of you, your spouse, or your dependent children in any contract involving the County of Broome or any municipality within the County of Broome.

Name of Family Member	Contract Description

8. POLITICAL PARTIES

List any political position you held within the last five (5) years as an officer of any political party, political committee, or political organization. The term "political organization" includes any independent body or any organization that is affiliated with or a subsidiary of a political party.

I HEREBY CERTIFY, UNDER PENALTY OF PERJURY, THAT THE INFORMATION DISCLOSED ON THIS FORM IS ACCURATE AND COMPLETE.

Signature

Date